

The 3rd International Workshop on Matrix Analysis and Applications



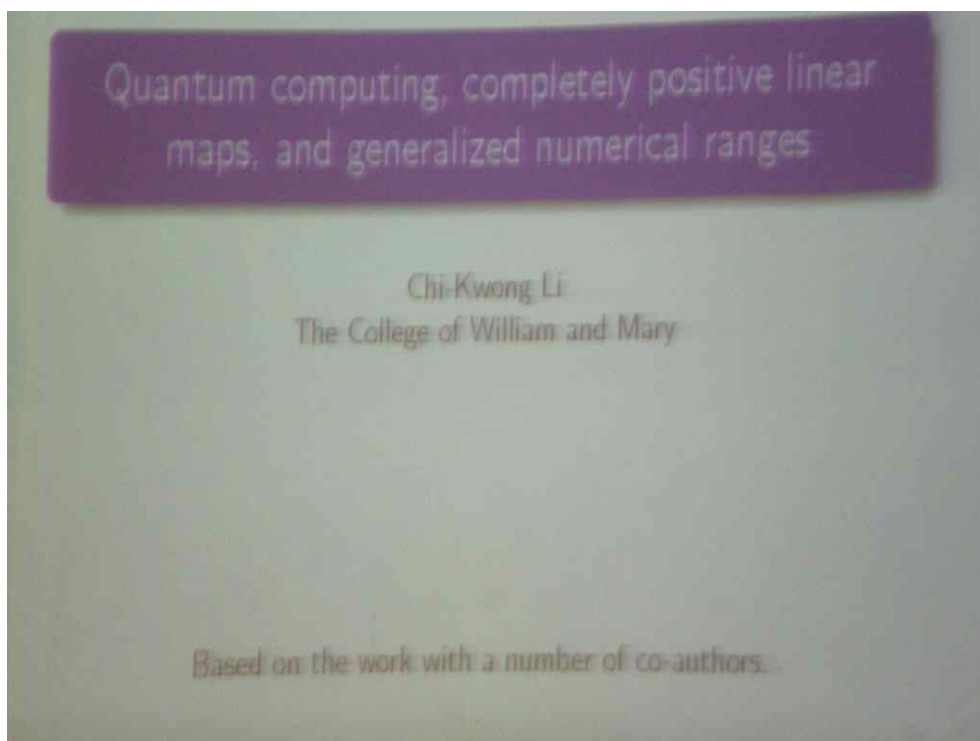
1. 출발 전 유의사항

- (1) 단기연수 신청서 제출 (invoice, 초청장, 논문초록 첨부)
- (2) 여권발급(수원월드컵 경기장 주차장 입구)
- (3) 비자신청, 티켓예약(여권영어이름과 동일), 호텔예약
- (4) 환전(학교 내 우리은행)
- (5) 도착지 기후 확인
- (6) 음식문제(중국은 모든 음식에 향신료를 사용함)
- (7) 전자제품(110volt), 콘센트 모양이 틀림

2. 귀국 후 유의사항

10일 이내 연수결과 및 귀국보고서 제출

(출입국 사실 확인용 여권사본, 왕복 항공 탑승권 원본, 참가 학술회의 PROCEEDINGS 해당 부분 사본)





Weighted generating trees and Related Combinatorial Interpretations

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1. Weighted generating tree

A *generating tree* (GT) [Chung, 1978] is a rooted labelled tree s.t. the labels of children of each node x can be determined from the label of x itself. Thus any particular generating tree may be specified by a *succession rule* consisting of

- (1) the label of the root;
- (2) a rule explaining how to derive the number of children and their labels.

Let us consider a succession rule given by

$$\Omega : \begin{cases} \text{root} : (c) \\ \text{rule} : (k) \end{cases} \mapsto (c)^{z_{k-c}} (c+1)^{a_{k-c}} \dots (k+1)^{a_0} \quad (1)$$

where $c \in N$, $a_i, z_i \in N_0$, $\forall i \geq 0$, $a_0 \neq 0$.

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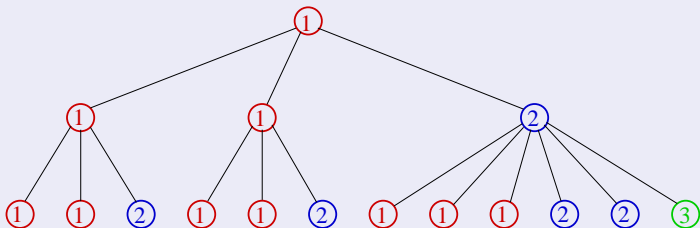
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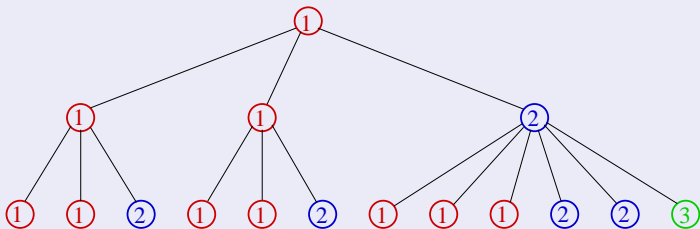
Deutsch, Ferrari and Rinaldi(2005)

- **production matrix** P whose (n, k) -entry is the number of nodes $(k + c)$ produced by node $(n + c)$,
- **ECO matrix** A_P induced by P whose (n, k) -entry is the number of nodes $(k + c)$ at level n .

Ex 1.1 Ω : $\begin{cases} \text{root: } (1) \\ \text{rule: } (k) \mapsto (1)^{k+1}(2)^k \dots (k)^2(k+1)^1 \end{cases}$



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$$P = \begin{bmatrix} 2 & 1 & 0 & 0 \\ 3 & 2 & 1 & 0 \\ 4 & 3 & 2 & 1 \\ & & \dots & \end{bmatrix}, \quad A_P = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 2 & 1 & 0 & 0 \\ 7 & 4 & 1 & 0 \\ & & \dots & \end{bmatrix}$$

Let us consider a generalized succession rule given by

$$\Omega : \begin{cases} \text{root} : (c) \\ \text{rule} : (k) \end{cases} \mapsto (c)^{z_{k-c}} (c+1)^{a_{k-c+j-1}} \dots (k+j)^{a_0} \quad (2)$$

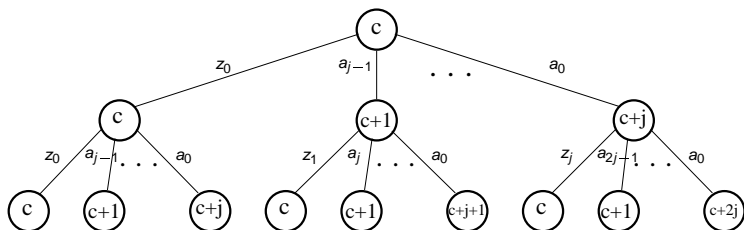
where $c \in \mathbb{N}$, $a_i, z_i \in \mathbb{R}$, $\forall i \geq 0$, $a_0 \neq 0$, and $j \geq 1$.

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where $c \in \mathbb{N}$, $a_i, z_i \in \mathbb{R}$, $\forall i \geq 0$, $a_0 \neq 0$, and $j \geq 1$.

We define a *weighted generating tree* (WGT) induced by Ω to be a rooted labeled tree with vertex weights and edge weights.



<The weighted generating tree>

ω -Production matrix

We define ω -*production matrix* P_ω to be a matrix whose (n, k) -entry is the weight on edge joining $(c + n)$ and $(c + k)$ produced by $(c + n)$. Thus we have

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$$P_\omega = \begin{bmatrix} z_0 & a_{j-1} & \cdots & a_0 & 0 & 0 & \cdots \\ z_1 & a_j & \cdots & a_1 & a_0 & 0 & \cdots \\ z_2 & a_{j+1} & \cdots & a_2 & a_1 & a_0 & \cdots \\ & & & \cdots & & & \end{bmatrix}.$$

ω -ECO matrix

We define ω -ECO matrix A_{P_ω} induced by P_ω to be a matrix whose (n, k) -entry is the total sum of the product of edge weights in each path from the root (c) to the node ($c + k$) at level n . Then it can be proved that

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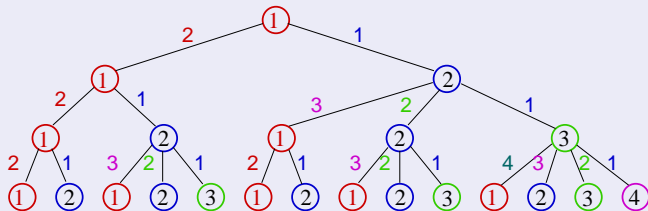
$$A_{P_\omega} = \begin{bmatrix} u^T \\ u^T P_\omega \\ u^T P_\omega^2 \\ \dots \end{bmatrix}, \quad u^T = (1, 0, \dots)$$

2. Total weights of paths in WGT

We are now interested in the total weight of paths in a WGT.

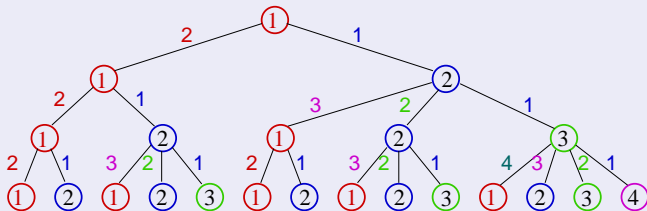
- *Weight of a path* from the root (c) to $(c+k)$ at level n in WGT := the product of all vertex and edge weights assigned on the path.
- $q_{n,k} :=$ the sum of weights of such paths.
- $Q_n := \sum_{k=0}^n q_{n,k}$. That is, **Total weight** of all the paths from the root to level n .

Example 2.1



$$Q_0 = 1,$$

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$$Q_0 = 1,$$

$$Q_1 = (1 \cdot 2 \cdot 1) + (1 \cdot 1 \cdot 2) = 4,$$

$$Q_2 = (1 \cdot 2 \cdot 1 \cdot 2 \cdot 1) + (1 \cdot 2 \cdot 1 \cdot 1 \cdot 2) + (1 \cdot 1 \cdot 2 \cdot 3 \cdot 1) \\ + (1 \cdot 1 \cdot 2 \cdot 2 \cdot 2) + (1 \cdot 1 \cdot 2 \cdot 1 \cdot 3) = 28,$$

...

Theorem 2.2 (GF(Q_n) of total weights)

Let Q_n be the total weight up to level n of the WGT.
Then the generating function for the $(Q_n)_{n \geq 0}$ is

$$\phi(t) = cu^T(I - tQ)^{-1}e$$

where

$$Q = P_w \cdot \text{diag}(c, c + 1, \dots), \quad u^T = (1, 0, \dots), \quad e^T = (1, 1, \dots).$$

<Note>

$$\Omega : \begin{cases} \text{root} : (c) \\ \text{rule} : (k) \end{cases} \mapsto (c)^{cZ_{k-c}}(c+1)^{(c+1)a_{k-c+j-1}} \dots (k+j)^{(k+j)a_0}$$

Question

What is the **explicit form** of GF for $(Q_n)_{n \geq 0}$?

Theorem 2.3 (EGF for total weights)

Let $z_{k-1} = a_k$ in Ω with $j = 1$ and let $A(t) = \sum_{n \geq 0} a_n t^n$ ($a_0 = 1$). Then the e.g.f. for the total weight Q_n up to level n is

$$\Phi_E(t) = \frac{1}{1 - f(t)} = 1 + \sum_{n \geq 0} Q_n \frac{t^{n+1}}{(n+1)!}.$$

where $A(f(t)) = \frac{d}{dt} f(t)$ with $f(0) = 0$.

EX 2.3 $Q_n = (3n + 1)!!!$

Let be the succession rule given by

$$\begin{cases} \text{root : } (1) \\ \text{rule : } (k) \mapsto (1)^{k+1}(2)^k \cdots (k)^2(k+1)^1 \end{cases} .$$

Then $Q_n = (3n + 1)!!! = 1 \cdot 4 \cdot 7 \cdots (3n + 1)$.

[Solution]

$$A(t) = 1 + 2t + 3t + \cdots = \left(\frac{1}{1-t}\right)^2$$

$$\Rightarrow \left(\frac{1}{1-t}\right)^2 = \frac{df}{dt}$$

$$\Rightarrow f(t) = 1 - \sqrt[3]{1-3t}$$

$$\Rightarrow \Phi_E(t) = \frac{1}{\sqrt[3]{1-3t}} = 1 + t + 4 \cdot \frac{t^2}{2!} + 28 \cdot \frac{t^3}{3!} + \cdots$$